



From start to submission (grants due Apr 8, 2020)

Class: Routing and Submission

Composition

Review

Routing

Submission

You
You
Sponsor/s
Sponsor/s
Composition
Revision

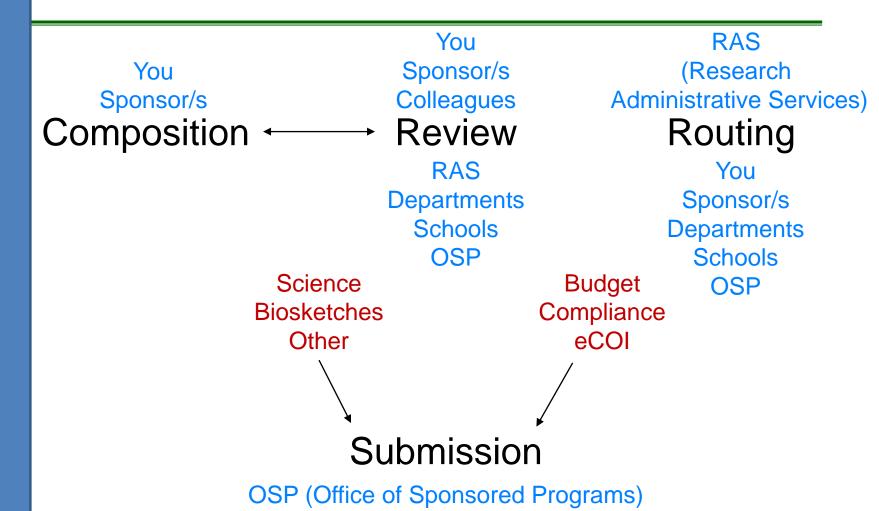
You
Sponsor/s
Colleagues
Review
Routing

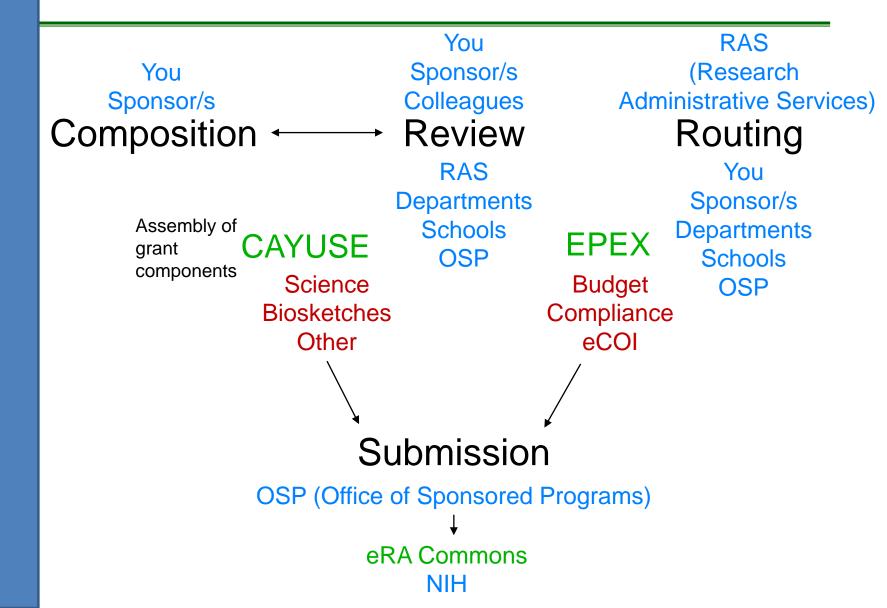
Submission

You RAS You Sponsor/s (Research Sponsor/s Administrative Services) Colleagues Composition Review Routing RAS You Sponsor/s Departments **Schools Departments OSP Schools OSP**

Submission

OSP (Office of Sponsored Programs)





Process Overview

- 1) eRA Commons username Done
- 2) Identify funding mechanism Done
- 3) Emory RAS Intent to submit
- 4) Assemble grant components
- 5) Routing the proposal
- 6) Submit!
- 7) Post submission

1) eRA Commons











Welcome: BECKY KINKEAD ID: BKINKEAD Institution: EMORY UNIVERSITY Roles: PI Logout | Contact Us | Help

Institution Profile Personal Profile Status ASSIST

Prior Approval RPPR xTrain xTRACT Admin Supp eRA Partners Non-Research

Welcome to the Commons

velcome

BECKY KINKEAD ID: BKINKEAD Institution: EMORY UNIVERSITY Roles: PI

RA Service Desk

- Hours: Mon-Fri. 7AM-8PM EDT/EST
- · Web:
- http://grants.nih.gov/support
- Toll-free: 866-504-9552
- · Phone: 301-402-7469

Contact initiated outside of business hours via Web or voice mail will be returned the next business day.

System Information Message

All systems are currently available.

Note: When application and grant reporting submission delays occur because the applicant or recipient organization is officially closed due to a natural disaster or other emergency, the NIH will consider accepting late applications and reports, on a case-by-case basis.

Please reference notice NOT-OD-17-106 in the NIH Guide for Grants and Contracts for additional details.

Heads up! eRA Commons users with more than one account will get an email in late summer to log in and verify their preferred account. Multiple accounts with scientific roles (PI, Trainee, ASST, etc.) will be combined into one account. important for ensuring you get credit for all your grant awards, reporting purposes, and identification of reviewer service for continuous submission. See Nexus article.

Commons allows you to perform the following activities below based on the privileges associated with this

- Administration Allows you to assign a delegate to perform system and accounts maintenance more...
- Institution Profile Enables you to view and update institution information more...
- · Personal Profile Allows you to update your personal information. Please periodically review your profile to ensure accuracy of information submitted more...
- . Status Allows you to check the status of grants and applications that have been submitted more...
- RPPR Allows you to review the information needed to complete a progress report. See RPPR Information and Submitting Progress Reports
- · xTrain Enables you in a Trainee or SO role to confirm the information that you have submitted for a Trainee role
- Internet Assisted Review (IAR) Allows reviewer to submit critiques and preliminary scores for applications they are reviewing more...

What's New

- New in RPPR
- New Service Desk System

Commons Resources

- Frequently Asked Questions
- Latest Release Notes
- · Commons Login Tutorial
- Commons Support Page
- eRA Training
- User Guides
- Grantee Organization Registration
- eRA Website
- Applying Electronically

Additional Links

- · eRA Contacts
- RePORT
- Grants.gov
- iEdison
- · National Institutes of Health
- Public Access Policy Page
- · Loan Repayment Program
- LikeThis
- Multiple Affiliations
- · Commons Quick Queries

3) RAS - Intent to submit

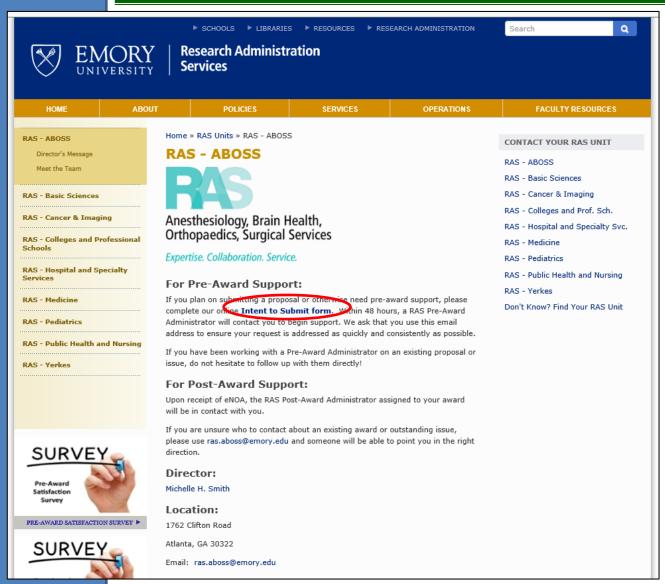


This is where you start a grant submission in the Emory system

RAS units are associated with specific departments

Getting started is either an email (eg. Yerkes, DOM, etc) or completing the Intent to Submit form (eg. ABOSS, basic sciences, etc)

3) RAS - Intent to submit (example ABOSS)



Clicking the Intent to Submit form takes you to a REDCap form. This is where you enter all info including:

- PAR
- Due date
- Any special requirements
- Links to funder and PAR
- Personnel (sponsor/s with primary department)

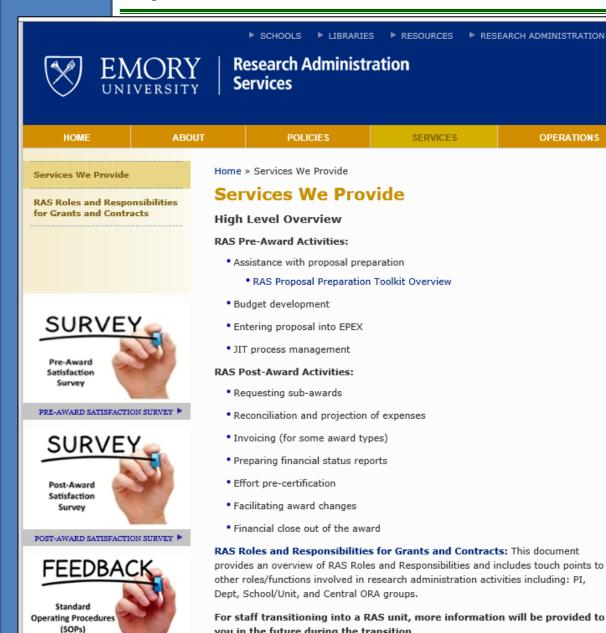
This form is used for:

- All external grant submissions (new, resubmissions, continuations, transfers)
- JIT requests
- Annual progress reports
- Subawards or contracts

Submit 6-8 wks before due date (min of 3 wks)

http://ras.emory.edu/ras-units/ras-aboss/index.html

3) RAS - Services



you in the future during the transition.

RAS Pre-Award Assistance with proposal preparation:

- **Budget development**
- **Enter proposal in EPEX** (Emory Proposal Express)
- Initiate eCOI
- Communicate with subcontract sites to assemble budget and approval documents

The RAS may:

- **Assist with interpretation** of PAR
- Start and/or assemble application in CAYUSE

4) Assemble Grant Components

Every PAR contains the instructions on how to submit

There are several options available to submit your application through Grants.gov to NIH and Department of Health and Human Services partners.

1. Use the NIH ASSIST system to prepare, submit and track your application online.

Apply Online Using ASSIST

- Use an institutional system-to-system (S2S) solution to prepare and submit your application to Grants.gov and eRA Commons to track your application. Check with your institutional officials regarding availability.
- Go to Grants.gov to download an application package to complete the application forms offline or create a Workspace to complete the forms online; submit your application to Grants.gov; and track your application in eRA Commons.

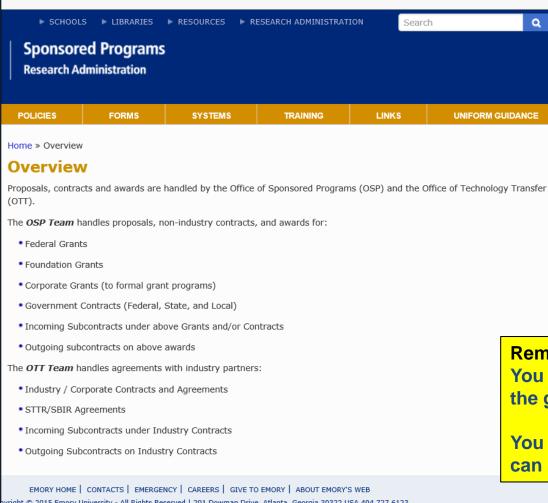
Learn more about the various <u>submission options</u>
(http://grants.nih.gov/grants/ElectronicReceipt/preparing.htm#2).

- ASSIST is the government program used to assemble your grant
- CAYUSE 424 is the 'institutional system-to-system (S2S) solution that Emory uses
- All grant components are assembled in CAYUSE
 - Institutional info
 - Personnel info (including Biosketches)
 - Budgets
 - Science
 - Other (resources, human subjects, vertebrate animals, sharing, etc)

Directions for getting access to CAYUSE are at the end of this slide set

4) Assemble Grant Components

- Access CAYUSE from the OSP website
- **OSP** (Office of Sponsored Programs)



What does OSP do:

- Final review of all external proposals
- Submits all external proposals
- Manages all official communications with funding agencies

Remember-

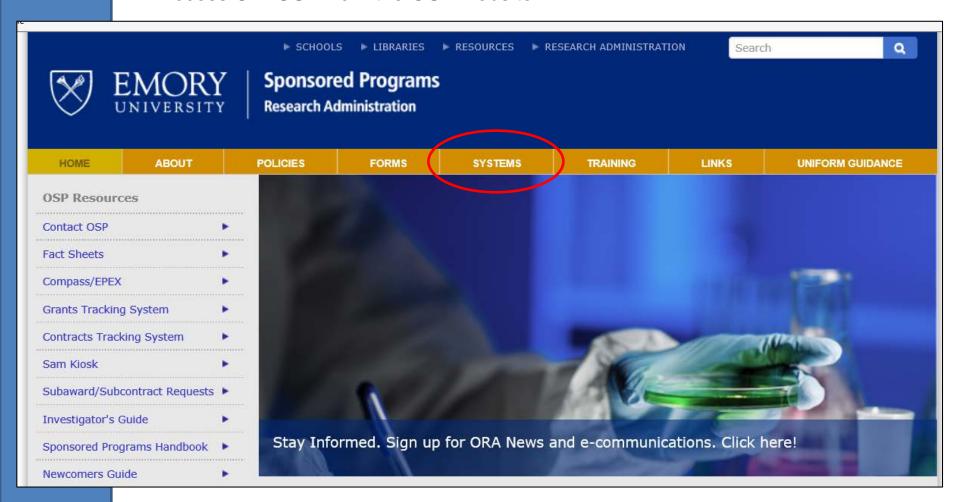
You are the PI of the grant, but the grant is to Emory

You don't submit - only Emory can submit

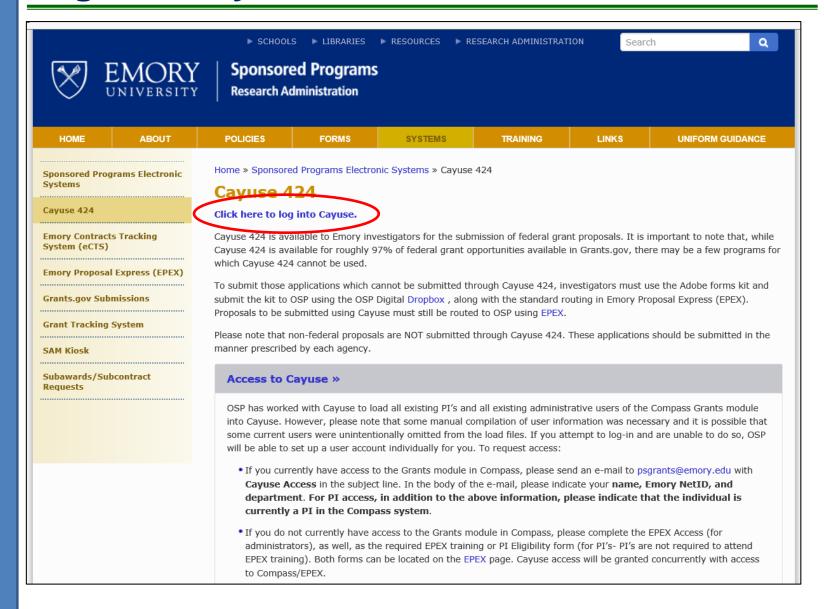
byright © 2015 Emory University - All Rights Reserved | 201 Dowman Drive, Atlanta, Georgia 30322 USA 404.727.6123

4) Assemble Grant Components

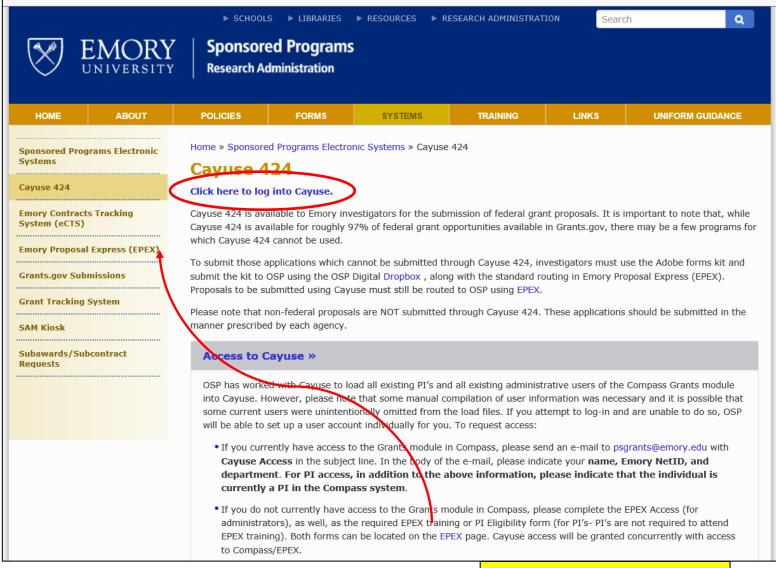
Access CAYUSE from the OSP website



Login to Cayuse

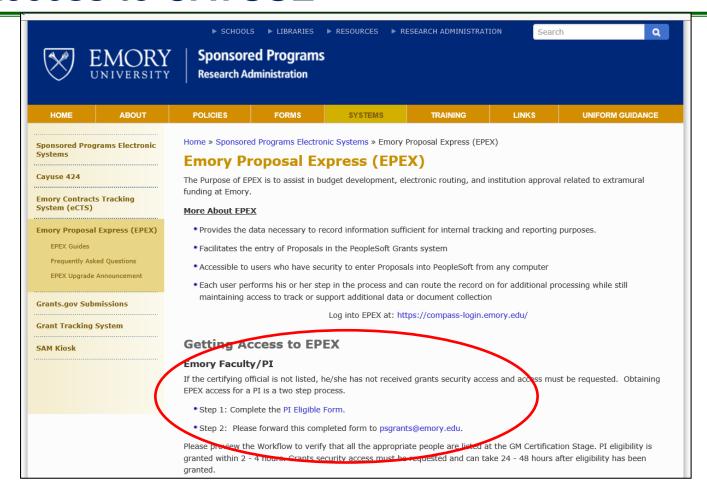


Login to Cayuse



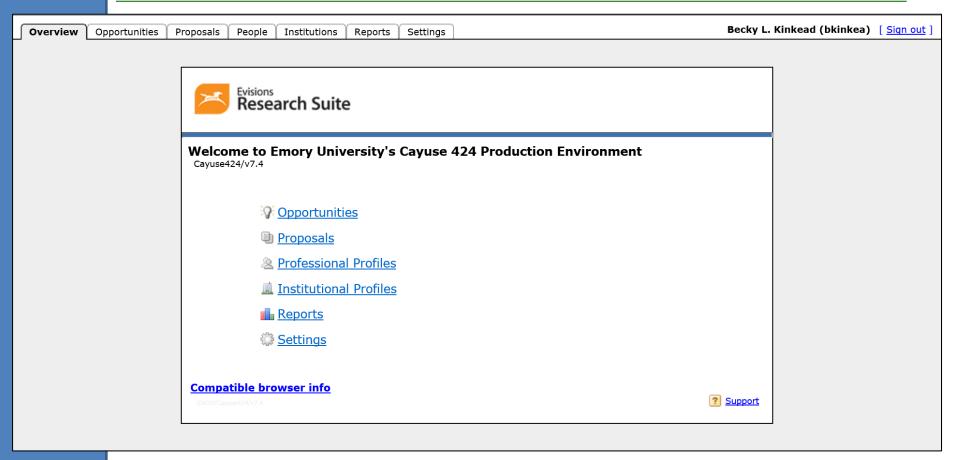
This link is broken, click link above

Access to CAYUSE



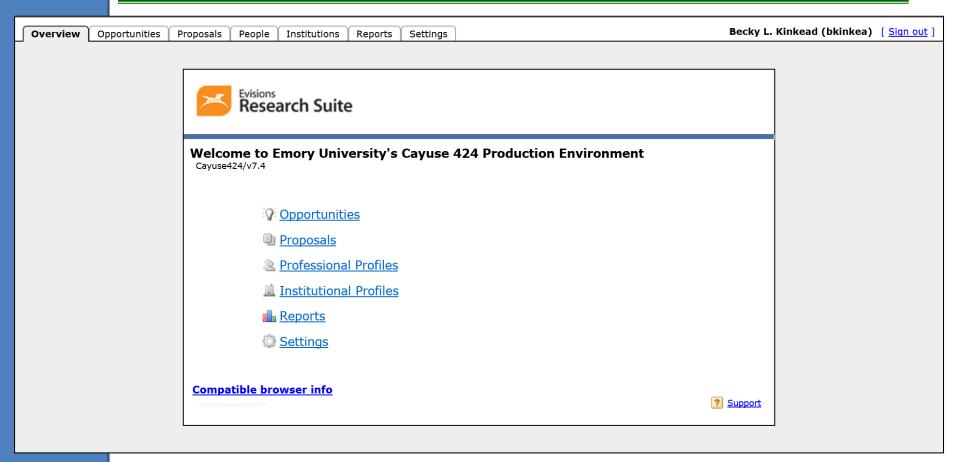
- The PI Eligible form requests your info and department signature
- No training or classes are required in order to access EPEX or CAYUSE

Cayuse



- Either you, or the RAS personnel, can initiate the CAYUSE application
- If you initiate, give your RAS administrator access
- If RAS initiates, make sure they give you access

Cayuse



- Generally RAS completes the budget sections
- You can send all documents to upload to RAS, or you can upload yourself
- Starting the proposal in CAYUSE, does <u>not</u> mean you are submitting or routing
 - You can start a CAYUSE proposal anytime
 - Only submitting the RAS Intent to Submit starts the submission process

5) Routing the proposal - EPEX

Within 48 hours of submitting the RAS Intent to Submit, a RAS pre-award person will contact you.

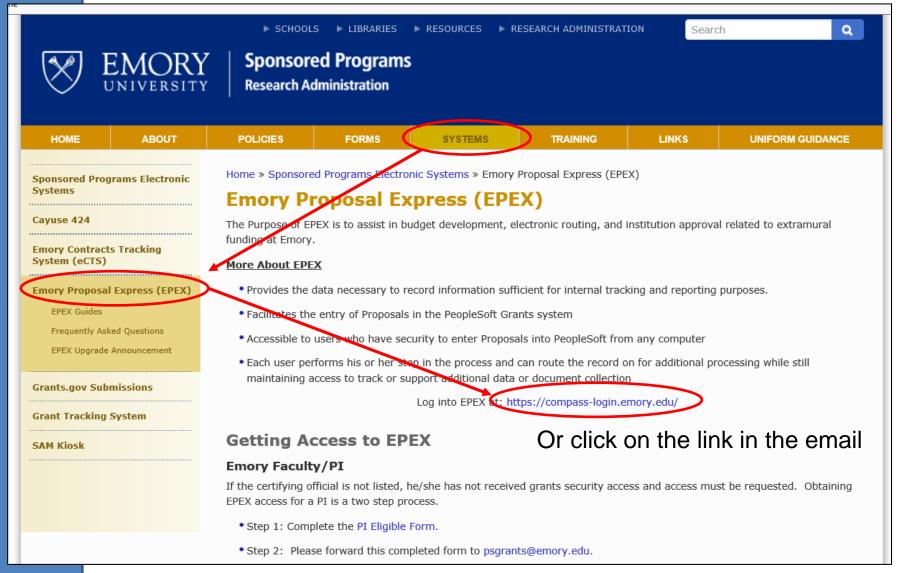
They will work with you on the budget, and enter the proposal into EPEX (Emory Proposal Express).

EPEX is Emory's system for internal (through the university) routing/review

- This will happen simultaneous to the work in CAYUSE
- In order for the proposal to route through the university, the budget and the personnel need to be finalized - all other pieces can be in progress until the very end
- The only things that <u>you</u> will have to do related to EPEX are:
 - Certify in EPEX
 - Complete eCOI (initiated by RAS, only needed if you haven't completed annual certification)
- You will receive automated emails (with links to the correct websites) requesting that you complete EPEX certification

5) Routing the proposal – How to certify in EPEX

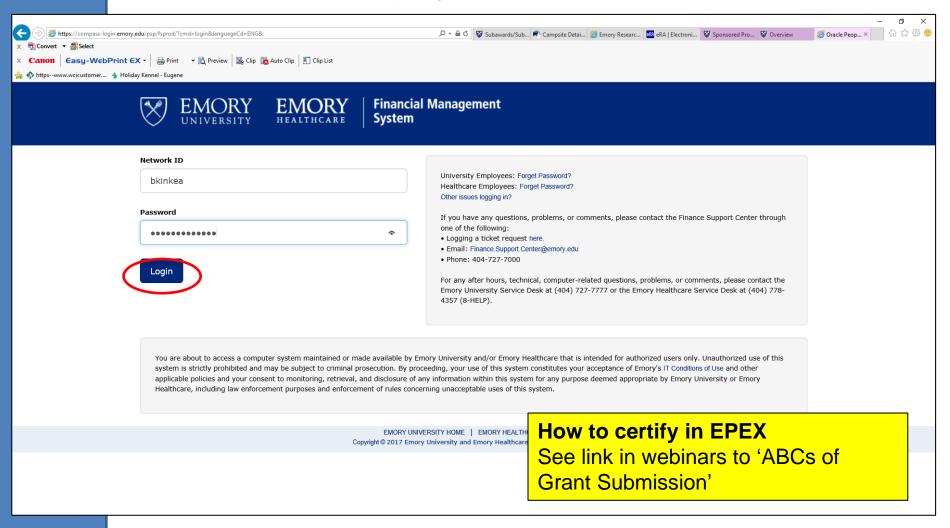
EPEX is part of the Compass Financial System (https://compass-login.emory.edu/)



5) Routing the proposal – How to certify in EPEX

EPEX is part of the Compass Financial System (https://compass-login.emory.edu/)

Compass is also where you go to submit travel receipts, expenditures, etc



6) Submit!

- Final step is for OSP to review and Submit
- Remember only Emory (OSP) can submit
- OSP needs both the EPEX and the CAYUSE to be complete
- OSP Timeline Receipt of EPEX and CAYUSE
 - 5 days before due date = full review
 - 3-4 days = focus on issues that will stop submission
 - Less than 48 hours = focus on compliance and system-related submission issues

Irrespective of the timeframe in which a proposal is submitted to OSP or the sponsoring agency, it is ultimately the responsibility of the PI and members of the research team to ensure that the proposal, itself, conforms to all agency and University regulations, policies, and guidelines.

7) Post-Submission

- Once OSP submits, the proposal will move from CAYUSE, to eRA commons
- You will receive an email from OSP indicating they submitted your proposal in grants.gov

-----Original Message-----

From: Hassan, Maggie

Sent: Monday, March 06, 2017 4:53 PM

To: Rapaport, Mark Hyman < mark.h.rapaport@emory.edu>

Cc: Rushing, Sherri < srushin@emory.edu; Sayani, Sunair < sunair.sayani@emory.edu>

Subject: Submission Confirmation: EPEX 0000041094 GRANT12360621 Grants.gov Receipt for Application

Dear Dr. Rapaport

I have submitted your application, EPEX # 0000041094 via Grants.gov.

The Grants.gov number associated with this submission is GRANT12360621

Within the next 48 hours (usually within a couple of hours), you should receive an e-mail from eRA Commons system indicating that they have received the application. However, please note that e-mail can be unreliable and sometimes this automated e-mail is not generated. Therefore, either upon receipt of the e-mail or within a few minutes of submission, please check the eRA Commons system to verify that all looks well with your application after it has passed through the validation process. All applications must be viewed within the allowable viewing window (two days after submission). After that time, they will automatically move forward in the Commons system.

We wish you the best of luck with your application. Please do not hesitate to contact Sunair or I if you have any questions about this submission.

Maggie Hassan Associate Director Office of Sponsored Programs Emory University 1599 Clifton Road NE, 4th Floor Mailstop: 1599-001-18A Atlanta, GA 30322 Phone: (404) 727-1802 (direct)

mmhassa@emory.edu Phone (404) 727-2503 (main)

Fax: (404) 727-2509

Post submission info:

See link in webinars to 'ABCs of Grant Submission'

7) Post-Submission

Once the grant is in eRA commons, you will receive an email form eRA commons

Mark Hyman Rapaport MD

Chairman Department of Psychiatry and Behavioral Sciences, Emory University School of Medicine

Begin forwarded message:

From: < era-notify@mail.nih.gov>

Date: March 6, 2017 at 4:57:28 PM EST

To: < mrapapo@emory.edu>, < osp@emor mailto:eranotifications@mail.nih.gov

Subject: GRANT12360621/Rapaport, N Click or tap to follow link. cation in eRA Commons

Reply-To: <eranotifications@mail.nih.gov>

NIH has received the electronic grant application Grants.gov Tracking # GRANT12360621 / PI Rapaport, Mark and has placed the assembled application in the eRA Commons for your review. You have a viewing window of two business days (i.e., Monday - Friday, excluding federal holidays) to check the assembled application before it automatically moves forward to NIH staff for further processing and consideration. Once the application has moved forward, no additional changes to the application will be accepted through Grants.gov or eRA Commons.

It is your responsibility to view the entire assembled application in eRA Commons and notify the eRA Service Desk within this window if the assembled application does not correctly reflect the information submitted to Grants.gov (e.g., submitted information is missing in the image or a graph/chart appears upside-down). The eRA Service Desk will provide guidance on appropriate corrective actions.

Within the viewing window, signing officials have the authority to reject an application to stop it from completing the submission process. After an application is rejected, a changed/corrected application can be submitted if it is still before the submission deadline. Changed/corrected applications submitted after the submission deadline will be subject to the NIH Late Policy and may not be accepted.

View detailed steps for PI to track submission status.

View detailed steps for AOR/SO to track submission status.

View detailed steps for AOR/SO to reject an application.

View detailed steps for submitting a changed/corrected application

If you still require support after taking full advantage of these resources, please contact the eRA Service Desk

 Once the grant is in eRA commons, you can login to check status, see review panel assignments, etc

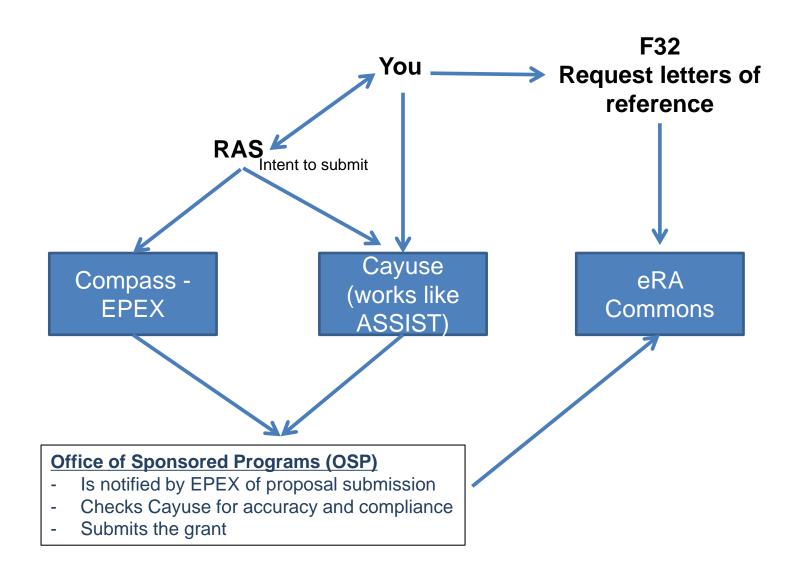
Relationship of Emory systems to eRA commons

Compass - EPEX

Cayuse (works like ASSIST)

eRA Commons

Relationship of Emory systems to eRA commons



Overall Timeline (time before application due date)

eRA Commons username = done (but check info)

Identify funding mechanism = done

Intent to submit (RAS) = 6-8 wks (minimum 3)

Assemble grant components = 6-8 wks

Routing the proposal in EPEX = 3-4 wks

EPEX and CAYUSE to OSP = 5 days for full review

Submit! = 2-3 days