The following process map depicts the retrieval and steps involved in producing Phase I, II and subsequently, Phase III metrics.

- This project is tasked with establishing a reporting process for faculty facing metrics.
- The goal is to supply meaningful metrics to faculty to measure performance of ORA’s department and units.
- Data-driven dashboard will seek to improve ORA’s transparency and identify areas for improvement.
Faculty Facing Metrics Glossary and Definitions

ORA DEPARTMENTS

• **COI** – Conflict of Interest. Unit within ORA that provides oversight, systemic safeguards, and regulatory expertise in managing individual and institutional financial interests that could impact research and scholarly activities.

• **IACUC** – Institutional Animal Care and Use Committee. Key oversight role, including the review and approval of animal use activities, and inspection of animal facilities.

• **IRB** – Institutional Review Board. Unit within ORA whose role is to assure that appropriate steps are taken to protect the rights and welfare of humans participating as subjects in a research study.

• **ORA** – Office of Research Administration.

• **OSP** – Office of Sponsored Programs. Unit within ORA that provides guidance in developing, processing, and submitting formal proposals and grant applications for sponsored research.

• **OTT** – Office of Technology Transfer. Unit within ORA that supports research activities and manages industry relations and intellectual property commercialization for the University.

• **RAS** – Research Administration Services. Unit that delivers research administrative support to Principal Investigators, departments and the University.

• **RAS Units** – Research administrative service units at the School/Business level.
  - RAS_PH – Public Health and Nursing RAS
  - RAS_MED – School Medicine RAS
  - RAS_ABOSS – Anesthesiology, Brain Health, Orthopedics, Surgical Services RAS
  - RAS_BASICSCI – Basic Science RAS
  - RAS_PED – Department of Pediatric RAS
  - RAS_CI – Cancer and Imaging RAS
  - RAS_ECAS – Colleges and Professional Schools RAS
  - RAS_HOSP – Hospital and Specialty Services RAS
  - RAS_YRK – Yerkes RAS

• **RGC** – Research Grants and Contracts. Unit within ORA that provides central oversight for the post-award fiscal activities of all sponsored projects.

SOURCES

• **Compass** – PeopleSoft financial system that is also used for grant management (proposal, expenditures etc.).

• **EBI** – Emory Business Intelligence. A trusted, collaborative Oracle based resource and data warehouse system used to support the creation of solutions using enterprise data analytics.

• **eIRB** – Software system used to support the University’s IRB (Institutional Review Board).

• **eIACUC** – Software system used to support the University’s IACUC (Institutional Animal Care and Use Committee).
OTHER TERMS

- **CNT** – Award Continuation
- **FYTD** – Fiscal Year to Date
- **MOD** – Award Modification
- **MODCR** – Modification/Continuing Review. Submission type for IRB review. May be solely a Modification (Mod), solely a Continuing Review (CR), or a combination of both.
- **MTA** – Material Transfer Agreement. A contract that governs the transfer of tangible research materials between two organizations, when the recipient intends to use it for his or her own research purposes (managed by OTT – Office of Technology and Transfer).
- **NEW** – New Award
- **PAN** – Pre-Award Number
- **PAR** – Post Award Request
- **PI** – Principal Investigator
- **Prime Sponsor** – Originating sponsor of funding
  - Sponsor Types:
    - **STAT** – State Sponsor
    - **FCOR** – Foreign Corporate Sponsor
    - **UNIV** – University Sponsor
    - **PRIV** – Private Sponsor
    - **CORP** – Corporate Sponsor
    - **FED** – Federal Sponsor
    - **FPRI** – Foreign Private Sponsor
    - **FGOV** – Foreign Government
- **Subcontract** - Joint research effort with other organizations or institutions for grants and contracts, whereby a portion of the project is conducted by investigators or collaborators at those sites.

OFFICE OF RESEARCH ADMINISTRATION
Proposal Submission Turnaround Time and Volume FYTD 2021: (November)

FYTD 2021 Proposal $'s: $318.5M
FYTD 2020 Proposal $'s: $274.2M

% Increase 2020 to 2021: *16.2%

FYTD Submission's 2021 Proposals #'s: 1127

FYTD 2021: GRANTS Average Processing Time

*Days in RAS/DEPT: 2
*Days in RAS/School: 3
Days in OSP Grants: 3

*RAS included in Dept and/or School processing time based on school approval process.

FYTD (November 2021): OSP Grants Proposal Process

Average Days

- Sep: 2.1
- Oct: 2.4
- Nov: 3.5

Proposals Volume by RAS FYTD 2020 and 2021

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<tr>
<th>RAS_ABOSS</th>
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* Increase was largely driven by an increase in SOM federal Proposal $'s.
Note: Processing includes time waiting for final proposal document review.

Current proposal volume by RAS with year over-year indicator. RAS Public Health & Nursing has the highest volume in 2021. RAS YRK has the lowest volume 2021. *RAS_PH includes SON

Source: EBI
Proposals Submitted by RAS FYTD 2021: (November)

RAS Medicine has the highest proposal submission $'s in 2021 FYTD.
RAS Medicine proposal $'s increased from $48M to $53M.

Current proposal submitted by RAS with year over-year indicator.

Source: EBI
Award Funding and Volume by RAS FYTD 2021: (November)

Current award volume by RAS with year over-year indicator. RAS MED has the highest volume in 2020 and 2021. RAS Yerkes has the lowest volume 2020 and 2021.

Current award funding by RAS with year over-year indicator. RAS Medicine has the highest funding in 2021 FYTD. RAS Medicine funding increased from $9M to $35M. Seven out of Nine RAS units funding increased. RAS ABOSS funding decreased from $36M to $14M.

Source: EBI
Subcontract Processing FYTD 2021: (November)

Subcontracts Processed FYTD: 2020 and 2021

Subcontract Processing Time by Prime Sponsor Type

On average Private Agencies represent the highest over process time and impact of total time.

Subcontract Processing Volume and Funding

A total of 384 Subcontracts completed during 1st Quarter. Federal Awards having the highest volume processed and award dollars; 317 and $45.4M respectively.

Source: Compass
Average Days received to fully executed represents total processing time. Average Days with Sponsor represents sub-awardee processing time. Please note the type of sub-contract can impact the overall processing time i.e. CT's. RAS_Unclassified consists of all Awards not included in a RAS_Unit.

Source: EBI
Average Number of Days OTT Contract Processing 2021 (FYTD: November)

Processing time includes waiting time for compliance areas i.e. COI, IRB and IACUC.

* Planned OTT-Contracts Quality Improvement Enhancement.

Source: EBI
Processing time includes waiting time for compliance areas i.e. COI, IRB and IACUC. As well, as time for final proposal submission by PI. Cycle days-date first received and date last modified based on routing stage. OSP time cycle is the total processing time Analyst/Manager. RAS Cycle time includes both RAS/Dept based on school approval process.

*Note excludes OSP/OTT Contracts Group processing time.

Source: EBI
Post Award Requests (PAR) Processing by Type FYTD 2021: (November)

**PAN Requests**
- PAN Continuation/Supplemental
- PAN New/Renewal
- PAN Other
- Volume Processed

**No Cost Extension Requests**
- No-Cost Extension
- Volume Processed

**Budget and Carryover Requests**
- Budget Revision/Carryforward
- Volume Processed

**Other Grant Requests**
- Other
- PI Change
- Scope Change
- Volume Processed

*Grant Form Processing in time and volume. PAN (Pre Award Number)*

Source: Compass
Award Requests (PAR) Processing Time by Agency FYTD 2021: (November)

Post Award: Processing by Agency Type *

*Grant Form Requests Processing Time by Agency-CORP-Corporation, FCOR-Foreign Corporate, FED-Federal, FGOV-Foreign Government, FPRI- Foreign Private, PRIV-Private, STAT- State, UNIV-University Excludes PAN's New/Renewal. Please note not all agency types are reflected each month.

Source: Compass
RAS, PI, Dept and School Average Days Proposal Processing Time 2021 (FYTD: November)

Processing time includes waiting time for compliance areas i.e. COI, IRB and IACUC. As well, as time for final proposal submission by PI. Cycle days-date first received and date last modified based on routing stage. OSP time cycle is the total processing time Analyst/Manager. RAS Cycle time includes both RAS/Dept based on school approval process.

*Note excludes OSP/OTT Contracts Group processing time.

Source: EBI
IRB Average Review Processing Time FYTD 2021: (November)

IRB MODCR AND STUDY: Days to Approval

* IRB Review Time begins March 2020 due to new system implementation prior data is not available. *(MODCR) Modification/Continuing Review. There were no protocols for Drugs or Devices approved Q1.

Source: eIRB
THANK YOU

If you have any questions or concerns, please contact:

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